STATE OF IOWA DEPARTMENT OF COMMERCE BEFORE THE IOWA UTILITIES BOARD

IN RE: WINDSTREAM IOWA COMMUNICATIONS, LLC'S) DOCKET NO. WRU-16
APPLICATION FOR WAIVER OF TELEPHONE NUMBER UTILIZATION THRESHOLD	APPLICATION FOR WAIVER OFTELEPHONE NUMBER UTILIZATIONTHRESHOLD
)))

Windstream Iowa Communications, LLC ("Windstream") files this Application for Waiver of Number Utilization Threshold and respectfully requests the Iowa Utilities Board ("Board") to issue an expedited order reversing the recent decision of Neustar, Inc. ("Neustar") acting as the Pooling Administrator ("PA") to withhold certain numbering resources from Windstream needed by the company to continue to provide reliable and adequate service to customers. In support of its Application, Windstream states as follows:

- 1. Windstream is an incumbent local exchange carrier ("ILEC") authorized to provide telecommunications services in designated service territories in the State of Iowa.
- 2. Neustar is the entity authorized by the Federal Communications Commission ("FCC") to perform the functions of the national PA.
- 3. All correspondence, pleadings, orders, decisions and communications regarding this docket should be sent to:

Cesar Caballero
Senior Regulatory Counsel
4001 N. Rodney Parham Road
MS: 1170-B1F3-53A
Little Rock, Arkansas 72212
(501) 748-7142
Cesar.Caballero@windstream.com

- 3. Windstream has commenced work on its Federal Communication Commission's Connect America Fund Phase 2 ("CAF2") projects in the Dyersville and Slaterr, Iowa rate centers. These projects entail, among other things, deploying packet switches that will be compatible with the new broadband infrastructure. Pursuant to the applicable industry *Location Routing Number (LRN) Assignment Practices document (ATIS-0300065)*, Windstream must establish a unique LRN to identify the recipient switch or POI and must establish one LRN per LATA per switch from an assigned NXX for the recipient switch in the number portability capable network. Thus, Windstream must obtain an LRN for each of the new packet switches located in the Dyersville and Slater rate centers. Also pursuant to industry guidelines, only an NXX code holder can obtain an LRN, thus necessitating Windstream's request for an NXX code, for these rate centers. It should be noted, however, that Windstream would retain only a single thousand-number-block from the new NXX code.
- 4. Further, Windstream does not have any NXX codes that could be moved for the purpose of establishing an LRN, nor does it have a clean one-thousand number block that could be intra-service provider ported to the new packet switch.
- 5. Given the need described above, on July 20, 2016 for Dyersville and July 14, 2016 for Slaterr, Windstream submitted its request to Neustar acting as the PA for the LRN and additional numbering resources required, (attached hereto as Attachment A and B).
- 6. Neustar subsequently denied Windstream's request on the grounds that it failed to meet the FCC's utilization requirement (attached hereto as Attachment A and B). As a result of Neustar's denials, Windstream has been unable to obtain the requested LRN and thousand-number block.

- 7. Under existing procedures, Neustar, as the PA, is required to accept or reject an application for numbering resources based solely on FCC criteria. FCC rules, however, make clear that a carrier may challenge a numbering resource denial by the PA before the appropriate state regulatory commission and that the state commission has jurisdiction to affirm or overturn the PA's decision to withhold numbering resources.¹
- 8. The FCC specifically grants state commissions "flexibility to direct the NANPA or Pooling Administrator to assign additional numbering resources to carriers that have demonstrated a verifiable need for additional numbering resources outside of these specifically enumerated instances." Specifically, in establishing the safety valve, the FCC recognized that conditions that could give rise to a need for additional numbering resources "might include situations where a carrier has multiple switches within a rate center but it is unable to readily share numbering resources among those switches."
- 9. Based upon the need described above, Windstream seeks the Board's reversal of Neustar's decision acting as PA and requests that the Board provide Windstream authorization for the new LRN and new NXX so as to fulfill the promise of this project that Windstream is undertaking under the CAF2.
- 10. Windstream respectfully requests that the Board act upon this Application within ten business days. The FCC has recognized the importance of timely action:

[W]e recognize that in many instances, the failure to address a request for additional numbering resources can impair a carrier's ability to stay in or expand business. We therefore direct states to act on carrier requests for a safety valve as expeditiously as

¹ See 47 C.F.R. § 52.15(g)(3)(iv).

² Numbering Resource Optimization, Implementation of the Local Competition Provisions of the Telecommunications Act of 1996, Third Report and Order and Second Order on Reconsideration, FCC 01-362, 17 FCC Rcd 252, ¶ 61 (2001).

³ *Id.* at ¶ 58. *See also id.* at ¶¶ 61 and 65.

possible. Although we do not establish a specific time limit for states to act on these requests, we believe that, in most instances, 10 business days from receipt of a request that the state determines to be sufficiently detailed and complete will be sufficient time to review and act upon safety valve requests.⁴

11. Granting Windstream's Application will not adversely affect the numbering resources available for use by carriers throughout the state in providing telecommunications services to their customers. Further, granting Windstream's request is in the public interest and consistent with the FCC's rules and decisions.

WHEREFORE, Windstream respectfully requests that the Board overturn and reverse Neustar's previous determination as the PA; direct Neustar to grant Windstream's application regarding its request; act within ten business days as suggested by the FCC or as soon thereafter as is possible; instruct Neustar acting as PA to release the numbering resources as specified herein; and grant all other proper relief to which Windstream may be entitled.

Respectfully submitted,

WINDSTREAM IOWA COMMUNICATIONS, LLC

By: /s/ Cesar Caballero

Cesar Caballero

Senior Regulatory Counsel

MS: 1170-B1F03-53A

4001 Rodney Parham Rd.

Little Rock, AR 72212

Telephone: (501) 748-7142

Facsimile: (330) 486-3561

E-mail: cesar.caballero@windstream.com

July 25, 2016

⁴ *Id.* at ¶ 66.

EXHIBIT A Dyersville, IA

Central Office Code Assignment Guidelines (COCAG) Central Office Code (NXX) Assignment Request-Part 1

Revised: January 4, 2016

Tracking Number:	563-DYERSVILLE-IA-934946		
	Full NXX: LRN		
Type of Application:	New Change ¹ Delete		
1.0 GENERAL	INFORMATION		
1.1 Contact Info	rmation:		
Code Applicant:			
Company/Entity Name:	WINDSTREAM IOWA COMMUNICAT	IONS, INC NOF	<u>rth</u>
Headquarters Address:	4001 RODNEY PARHAM ROAD		
City, State, Zip:	LITTLE ROCK, AR, 72212		
Contact Name:	MARY RICHARDS		
Contact Address:	301 N MAIN STREET		
City:	GREENVILLE	State: SC	ZIP: 29609
Phone:	864-672-5329	Fax:	
E-mail:	MARY.RICHARDS@WINDSTR	EAM.COM	
Code Administra	ator: ²		
Name:	David Morgan		
Address:	46000 Center Oak Plaza		
City:	Sterling	State: VA	ZIP: 20166
Dhana	E74 424 E294	<u>571-</u>	
Phone:	<u>571-434-5381</u>	Fax : <u>434-</u> <u>550</u> 2	
			-
1.2 NPA: <u>563</u>	NXX: ³ LATA: <u>634</u> Parent Company's OCN	OCN: ⁴ <u>1167</u> I(s) <u>G118</u>	
Switching Identi	fication (Switching Entity/POI) ⁵ DY	VLIAXOPS0	
Locality/City/W	`		Rate Center: 6 DYERSVILLE
Document City, W		Tan	dem Homing
Homing Tanden	n Operating Co. ⁷ : <u>at&t</u>		LI ^{TM8} :MNPLIAXC09T
1.3 <u>Dates</u>			

Requested Effective

Date of Application: 07/20/2016	Date: 9,10 09/24/2016
By selecting this checkbox, I acknowledge that I at date the Administrator can grant. Please note that this c Administrator's processing time, however the request v	only applies to a reduction in the
Request Expedited Treatment Yes No Request Expedite Documentation must be provided if "Request Expedited Explanation:	
1.4 a) Type of Company/Entity Requesting Code Local Exchange Carrier (ILEC)	
b) Type of service (e.g., Cellular - Type 2):	Wireline
c) Code Assignment Preference (Optional)	
d) Codes that are undesirable, if any	
e) Type of Change (Mark all that apply)	
 OCN-Intra-company¹¹ ☐ Switching Id OCN-Inter-company¹² ☐ Effective Date 	_
1.5 Type of Request (Initial, growth, etc.) Growt	<u>h</u>
If an initial code, attach (1) evidence of certification ar within 60 days. If a growth code, attach months to exhaust	
Pooling Indicator: ¹³ Ves No	
1.6 NPA Jeopardy Criteria Apply:	
1.7 Code request for new service (Explain): new swit	ch deployment

1.8 It is the code applicant's responsibility to arrange input of Part 2 information into BIRRDS. The 45-calendar day nationwide minimum interval cut-over for BIRRDS will not begin until input into BIRRDS has been completed.

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u .ci	ш	ш	CH	18	

I hereby certify that the above information requesting an NXX code is true and accurate to the best of my knowledge and that this application has been prepared in accordance with Central Office Code (NXX) Assignment Guidelines posted to the ATIS web site (http://www.atis.org/inc/incguides.asp) as of the date of this application 14.

MARY RICHARDS

Signature of Code Applicant

CODE ADMINISTRATOR

07/20/2016

Title

Date

120 1 ...

¹Identify type and reason for change(s) in Section 1.4(e).

²A list of the current Code Administrator(s) who can provide assistance in completing this form is available upon request from NANPA.

³The NXX field is required for any code request in which there is a change or the NXX is being returned.

⁴Operating Company Number (OCN) assignments must uniquely identify the applicant. Relative to CO Code assignments, NECA-assigned Company Codes may be used as OCNs. Companies with no prior CO Code or Company Code assignments may contact NECA (800-228-8597) to be assigned a Company Code(s). Since multiple OCNs and/or Company Codes may be associated with a given company, companies with prior assignment should direct questions regarding appropriate OCN usage to the iconectiv TelcordiaTM Routing Administration (TRA) on 732-699-6700.

⁵This is an 11 character descriptor of the switch provided by the owning entity for the purpose of routing calls. This is the 11 character Common Language[®] Location Code (CLLITM Code) of the applicant's switch or POI. Common Language[®] and Telcordia[®] are registered trademarks and CLLITM, LERGTM Routing Guide and iconectivTM are trademarks and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

⁶Rate Center name must be a tariffed Rate Center associated with toll billing.

⁷Applies to any code applicant connecting to the Public Switched Telephone Network via a tandem owned by a different carrier.

⁸This is an eleven-character descriptor provided by the owning entity for the purpose of routing calls. This must be the CLLITM Location Identification Code of the switching entity/POI, and is the same on Part 2, Form 1, Page 2 of 2.

⁹Code applicants should request an effective date that is at least 66 calendar days from the submission of this form. It should be noted that interconnection arrangements and facilities need to be in place prior to activation of a code. Such arrangements are outside the scope of these guidelines.

¹⁰Requests for code assignment shall not be made more than six months prior to the requested effective date.

¹¹Select if you are the current Code Holder

¹³The Applicant will indicate "YES" if the NXX being requested will be used for thousands-block number pooling and will leave this field blank if it is not.

¹⁴An incomplete form may result in delays in processing this request.

Tracking Number: <u>563-DYERSVILLE-IA-934946</u>

Thousands-Block Application Form - Part 1A Revised: January 4, 2016

Full NXX: LRN

Type of Application:	✓ New	☐ Change ⁱ	Disconnect	;
1.1 Contact In		al Application Inf	formation	
Block Applic	ant:			
Company Name:	WINDSTREAM IO	WA COMMUNICA	TIONS, INC.	- NORTH
Headquarters Address:	4001 RODNEY PA	RHAM ROAD		
City:	LITTLE ROCK	State:	<u>AR</u>	ZIP: <u>72212</u>
Contact Name:	MARY RICHARDS	<u>5</u>		
Contact Address:	301 N MAIN STRE	EET		
City:	GREENVILLE	State:	<u>sc</u>	ZIP: 29609
Phone: 864- 0	672-5329	Fax:		
E-mail: MAR	Y.RICHARDS@WI	NDSTREAM.COM		
Pooling Adm	inistrator: ⁱⁱ			
Contact Name:	Kevin Gatchell			
Contact Address:	1800 Sutter St			
City:	Concord	State:	<u>CA</u>	ZIP: <u>94520</u>
Phone:	925-363-8742	Fax: 925-363-769	2	
E-mail: kevir	n.gatchell@neusta	r.biz		
1.2 General I	nformation:			
Check one: N	No LRN needed _	LRN needed	iii:x	

Parent Company's OCN

NPA: <u>563</u> LATA: <u>634</u> OCN ^{iv} : <u>1167</u> <u>G118</u>
Number of Thousands-Blocks Requested : 1
Switch Identification (Switching Entity/POI ^v): <u>DYVLIAXOPS0</u>
Rate Center ^{vi} : DYERSVILLE
1.3 Dates:
Date of Application ^{vii} : Requested Effective Date ^{viii} :
Requested Expedited Treatment? (See Section 8.6) YesNoX
☐ By selecting this checkbox, I acknowledge that I am requesting the earliest possible effective date the Administrator can grant. Please note that this only applies to a reduction in the Administrator's processing time, however the request will still be processed in the order received.
1.4 Type of Service Provider Requesting the Thousands-Block:
a) Type of Service Provider: Incumbent Local Exchange Carrier (ILEC) (LEC, IXC, CMRS, Other)
b) Primary Type of Service Blocks to be used for : Wireline
c) Thousands-Block(s) (NXX-X) assignment preference (Optional)
d) Thousands-Block(s) (NXX-X) that are undesirable for this assignment, if any
e) If requesting a code for LRN purposes, indicate which block(s) you will be keeping (the remainder of the blocks will be given to the pool)
1.5 Type of Request:
Initial block for rate center: YesIf Yes, attach evidence of authorization and proof of capability to provide service within 60 days.
Growth block for rate center: YesXIf Yes, attach months to exhaust worksheet
By selecting this checkbox, I acknowledge that I am willing to accept a block in red and explicitly understand that the underlying CO code may not yet be activated in the PSTN and loaded in the NPAC on the block effective date.
Type of change (Mark all that apply)
OCN: Intra-company ^{ix} Switching Id Part 1B

	OCN: Inter-compar	ny ^x DEffective Date	
Chang	ge block : YesIf	Yes, list NPA-NXX-X	
1.6 Bl	ock Return :		
a) Is	this block Contaminated	l: Yes No	
		NOT available for assignment:	_
c) H	lave all new Intra SP port	ts been completed in the NPAC: Yes _	No
<u>d</u>) H	las this block been protec	eted from further assignment: Yes	_ No
Disco	nnect block : Yes	If Yes, list NPA-NXX-X	
Remai	rks:		
accura in acco Guide	ite to the best of my know ordance with the Thousar lines ATIS-0300066 avai	Information requesting an NXX-X blockledge and that this application has been des-Block (NXX-X) Pooling Administrable on the ATIS web site (www.atis.of the date of this application.	n prepared ation
MARY	RICHARDS	CODE ADMINISTRATOR	07/20/2016

<u>Instructions for filling out each Section of the Part 1A form:</u>

Signature of Block Applicant Title

Section 1.1 Contact information requires that Service Providers supply under "Block Applicant" the company name, company headquarters address, a contact within the company, an address where the contact person may be reached, in addition to the correct phone, fax, and e-mail address. The Pooling Administrator section also requires the Service Provider to fill in the Pooling Administrator's name, address, phone, fax and e-mail.

Section 1.2 Service Providers who need a thousands-block assignment or for an Location Routing Number (LRN) are required to fill in this section. If needed for an LRN, a CO Code Application needs to also be submitted to the PA. The Service Provider should supply the Numbering Plan Area (NPA); the Local Access Transport Area (LATA), which is a three-digit number that can be found in the Telcordia Technologies, Inc. dba iconectiv (iconectiv TM) LERG TM Routing Guidexi. The Operating Company Number (OCN) assigned to the service provider and the OCN of its parent company. An OCN is a four-character alphanumeric NECA-assigned Company Code or a four-character alphanumeric identifier assigned by the iconectiv TM Telecom Routing Administration (TRA). In addition, the number of thousands-blocks requested should be supplied. The Switch

Date

Identification. Explanations of these terms may be found in the footnotes.

Section 1.3 The date the Service Provider completes the application should be entered in this section, as well as the Effective Date of the requested thousands-block.

Section 1.4 Service Providers should indicate their type, e.g., local exchange carrier, competitive local exchange carrier, interexchange carrier, CMRS or VoIP. Also indicate the primary type of business in which the numbering resource is to be used. Service Providers may indicate their preference for a particular thousands-block, e.g., 321-9XXX, or indicate any thousands-blocks that may be undesirable, e.g., 321-6XXX.

Section 1.5 Service Providers indicate the type of request. Initial requests are for first applications for thousands-blocks in a rate center, growth for additional thousands-blocks in a rate center in which the applicant already has numbering resources, and provide the required evidence as ordered by the FCC.

Section 1.6 Service Providers must indicate the updated/current information in regards to contaminated TNs on the block they are returning to the pool. Blocks with over 10% contamination (101 TNs or more) shall not be returned to the pool except when a service provider is exiting the market or is exchanging a block that was identified as being over 10% contaminated. If the block being returned is over 10% contaminated, the PA shall seek a new block holder. If question c and/or d have a response of No, the request for return shall be denied.

The thousands-block applicant certifies veracity of this form by signing their name, and providing their title and date.

Footnotes:

ⁱIdentify the type of change(s) in Section 1.5.

ⁱⁱThe Pool Administrator is available to assist in completing these forms.

iiiA CO Code application will also need to be submitted to the PA.

^{1V}Operating Company Number (OCN) assignments must uniquely identify the applicant. Relative to CO Code assignments, NECA-assigned Company Codes may be used as OCNs. Companies with no prior CO Code or Company Code assignments should contact NECA (800 524-1020) to be assigned a Company Code(s). Since multiple OCNs and/or Company Codes may be associated with a given company, companies with prior assignments should direct questions regarding appropriate OCN usage to TRA (732-699-6700).

^vThis is an eleven-character descriptor of the switch provided by the owning entity for the purpose of routing calls. This is the 11 character CLLITM Code of the switch /POI.

viRate Center name must be a tariffed Rate Center.

viiAcknowledgment and indication of disposition of this application will be provided to applicant within seven calendar days from the date of receipt of this application. An incomplete form may result in delays in processing this request.

viii Please ensure that the NPA-NXX of the LRN to be associated with this block (s) is/will be active in the PSTN prior to the effective date of the block(s).

ix Select if you are the current Block Holder.

x Select if you are <u>not</u> the current Block Holder

xi Common Language[®] and Telcordia[®] are registered trademarks and CLLITM, LERGTM Routing Guide and iconectivTM are trademarks and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

Thousands-Block Number Pooling Administration Guidelines (TBPAG) - Appendix 3 Revised: January 4, 2016

MONTHS TO EXHAUST and UTILIZATION CERTIFICATION WORK SHEET - TN Level 1 (Thousands-Block Number Pooling Growth Block Request)

Tracking Number: <u>563-DYERSVILLE-IA-934946</u>

Date: Wednesday, July

OCN:1167

Company Name: WINDSTREAM IOWA

20, 2016

COMMUNICATIONS, INC. - NORTH

Rate Center: **DYERSVILLE**

List all Codes NPA(s)-NXX(s) and Blocks NPA(s)-NXX-X(s)²:563-875-1,2,5,6,7,8,9

Name of Block Applicant: MARY

Title: CODE ADMINISTRATOR

Signature: MARY RICHARDS

RICHARDS

Phone: <u>864-672-5329-</u>

Fax:

E-Mail: MARY.RICHARDS@WINDSTREAM.COM

A. Available Numbers:3163

B. Assigned Numbers: 3638

C. Total Numbering Resources: 7000

D. Quantity of numbers activated in the past 90 days (increments of 1,000 or 10,000) and excluded from the Utilization calculation ³:0

List Excluded Code(s) or

Block(s):

Month 1 2 3 4 5 6 7 8 10 11 12

E. Growth <u>-1</u> <u>-5</u> <u>-10</u> <u>-19</u> -12 -10 History -

Previous 6 months⁴ F. Forecast - Next 12 1 1 1 1 1 1 1 1 2 2 2 2 months⁵ G. Average Monthly Forecast (Sum of months # 1-6 (Part F above) divided by 6):1.0 H. Months Numbers Available for Assignment to to Exhaust⁶ Customers (A) Average Monthly Forecast (G) Block Requested Available Numbers Months To Exhaust 1 3163 3163.00 I. Utilization⁷ Assigned Numbers (B) X 100 =51.971 Total Numbering Resources (C)-Excluded Numbers (D)

Explanation:

¹A copy of this worksheet is required to be submitted to the Pooling Administrator when requesting additional numbering resources in a rate center. For auditing purposes, the applicant must retain a copy of this document.

²Report on all resources for the requested geographic area, including newly acquired blocks/codes.

³Quantity of numbers activated in the past 90 days is based on blocks and/or codes received from the administrator and shall be reported in increments of 1,000 or 10,000 TNs (e. g.: 2 blocks received=2,000 and 1 code received=10,000).

⁴Net change in TNs no longer available for assignment in each previous month, starting with the most distant month as Month 1, and Month 6 as the current month.

⁵Forecast of TNs needed in each following month, starting with the most recent month as Month 1.

⁶To be assigned an additional thousands-block (NXX-X) for growth, "Months to Exhaust" must be less than or equal to 6 months. (47 CFR § 52.15 (g) (4) (iii)).

⁷Newly acquired numbers may be excluded from the Utilization calculation (47 CFR § 52.15 (g)(4)

(ii))

Thousands-Block Number Pooling Administration Guidelines (TBPAG) - Part 3

Revised: January 4, 2016

Pooling Administrator's Response/Confirmation

Tracking Number:	563-DYERSVILLE- IA-934946	_	
Date of Application:	07/20/2016	Effective Date:	
Date of Receipt:	07/20/2016	Date of Response:	07/20/2016
Service Provider Name: (LERG TM Routing	NORTH	WA COMMUNICATION	NS, INC
Guide ¹) OCN:	1167		
Parent Company OCN:	G118		
NPAC SOA SPID :			
Pooling Administrator C Kevin Gatchell	ontact Information:	··	925-363-8742
Signature of Pooling Ad		•	
Kevin Gatchell	Fax:		925-363-7692
Name (print)			
Email:	kevin.	gatchell@neustar.biz	
NPA-NXX or NPA-NXX-X :		lock Assigned:	
		lock Reservation xpiration Date:	
	В	llock/Code odified :	
		lock/Code	
		isconnected:	
Block Contaminate If yes, enter the nur contaminated (1-10)	nber of TNs		
Switch Identificatio	n (Switching/POI) ² :	DYVLIAXOPS0	
Rate Center:		DYERSVILLE	
X Form complete, req	uest denied.		

	Explanation: DR-57: You do not meet the MTE and/or Utilization requirements, therefore this request for a new code is denied. You may proceed with requesting a State Waiver from the appropriate state commission using this Part 3 denial. If you are in disagreement with the disposition of this request, please refer to the Thousands-Block Number (NXX-X) Pooling Administration Guidelines for the appeals process.
	Request Withdrawn.
	Explanation:
	Assignment Activity Suspended by Administrator. Explanation:
≀e₁	marks:

¹ Telcordia[®] is a registered trademark and LERGTM Routing Guide and iconectivTM are trademarks and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

² This is an eleven-character descriptor provided by the owning entity for the purpose of routing calls. This must be the Common Language[®] Location Code (CLLITM Code) of the switching entity/POI shown on the Part 1A form. Common Language[®] is a registered trademark and CLLI is a trademark and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

Thousands-Block Number Pooling Administration Guidelines (TBPAG) - Part 3

Revised: January 4, 2016

Pooling Administrator's Response/Confirmation

Tracking Number:	563-DYERSVILL IA-934946	.E-		
Date of Application:	07/20/2016	E	Effective Date:	
Date of Receipt:	07/20/2016		Date of Response:	07/20/2016
Service Provider Name:	WINDSTREAM IN	OWA		NS, INC
(LERG TM Routing Guide ¹) OCN:	1167			
Parent Company OCN:	G118			
NPAC SOA SPID :				
Pooling Administrator C Kevin Gatchell				005 000 0540
	Pho	ne:		925-363-8742
Signature of Pooling Add Kevin Gatchell	ministrator Fax			925-363-7692
Name (print)	Tax	•		923-303-7092
Email:	kevi	in.ga	tchell@neustar.biz	1
NPA-NXX or NPA-NXX-X:		Bloc	ck Assigned:	
		Bloc	ck Reserved:	
			ck Reservation	
		-	ration Date:	
			ck/Code ified :	
			ck/Code	
			onnected:	
Block Contaminate	d (Yes or No):			
If yes, enter the nur contaminated (1-100				
Switch Identification	n (Switching/POI)) ² :	DYVLIAXOPS0	
Rate Center:			DYERSVILLE	
X Form complete, requ	uest denied.			

	Explanation: DR-57: You do not meet the MTE and/or Utilization requirements, therefore this request for a new code is denied. You may proceed with requesting a State Waiver from the appropriate state commission using this Part 3 denial. If you are in disagreement with the disposition of this request, please refer to the Thousands-Block Number (NXX-X) Pooling Administration Guidelines for the appeals process.
	Request Withdrawn.
	Explanation:
	_Assignment Activity Suspended by Administrator. Explanation:
Re	marks:

¹ Telcordia[®] is a registered trademark and LERGTM Routing Guide and iconectivTM are trademarks and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

² This is an eleven-character descriptor provided by the owning entity for the purpose of routing calls. This must be the Common Language[®] Location Code (CLLITM Code) of the switching entity/POI shown on the Part 1A form. Common Language[®] is a registered trademark and CLLI is a trademark and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

543 - Dyersville - 1A-931944

ATIS-0300066.ap3 - Appendix 3

MONTHS TO EXHAUST and UTILIZATION CERTIFICATION WORKSHEET - TN Level 1 (Thousands-Block Number Pooling Growth Block Request)

Date: 07/12/2016 OCN: 1167 Company Name: Windstream Communications

Rate Center: Dyersville, IA

List all Codes NPA(s)-NXXs and Blocks NPA(s)-NXX-X(s): 563-875-1, 2, 5, 6, 7, 8, 9

Name of Block Applicant: Susan W. Barnhart Signature:

Title: Coordinator - MIROR Administration Telephone No.: 786-629-4242 FAX No.: 706-776-3495

E-Mail: Susan.W.Barnhart@windstream.com

A. Available Numbers: 3163

B. Assigned Numbers: 3638

C. Total Numbering Resources: 7000

Quantity of numbers activated in the past 90 days and excluded from the Utilization calculation: ο.

List excluded Code(s) or Block(s):

		Month #1	Month #2	Month #3	Month #4	Month #5	Month #6	Month #7	Month #8	Month #9	Month #10	Month #11	Month #12
ய்	E. Growth History – Previous 6 months ²	Υ	κ̈́	-10	6.		-10						
ட்	Forecast – Next 12 months ³	_	-	-	-	←	_	_	_	2	2	2	2
Ö	G. Average Monthly Forecast (Sum of months #1-6 (Part F above) divided by 6): 1	ıs #1-6 (Part F	above) divic	led by 6): 1									
ヹ	H. Months to Exhaust ⁴		Numbers	Numbers Available for Assignment to Customers (A) Average Monthly Forecast (G)	vailable for Assignment to Cus Average Monthly Forecast (G)	t to Custome	ers (A)		II	3163			
-:	. Utilization ⁵	Total	Assigned Nu Numbering	Assigned Numbers (B) – Excluded Numbers (D) Total Numbering Resources (C) – Excluded Numbers (D)	Excluded Nu	<u>Imbers (D)</u> d Numbers ((a)	* 100	п	52%			

Explanation:

¹ A copy of this worksheet is required to be submitted to the Pooling Administrator when requesting additional numbering resources in a rate center. For auditing purposes, the applicant must retain a copy of this document.

² Net change in TNs no longer available for assignment in each previous month, starting with the most distant month as Month #1, and Month #6 as the current month.

³ Forecast of TNs needed in each following month, starting with the most recent month as Month #1.

⁴ To be assigned an additional thousands-block (NXX-X) for growth, "Months to Exhaust" must be less than or equal to 6 months. (FCC 00-104, § 52.15 (g) (3) (iii))

 $^{^5}$ Newly acquired numbers may be excluded from the Utilization calculation (FCC 00104, section 52.15 (g)(3)(ii))

EXHIBIT B Slater, IA

COUC LUILIA rage I OI 4

Central Office Code Assignment Guidelines (COCAG) Central Office Code (NXX) Assignment Request-Part 1

Revised: January 4, 2016

Tracking

515-SLATER-IA-933901

Number:

Full NXX: LRN

Type of

Mew New

Change 1

Application:

Delete

1.0 GENERAL INFORMATION

1.1 Contact Information:

Code Applicant:

Company/Entity WINDSTREAM IOWA COMMUNICATIONS, INC. - NORTH

Name:

Address:

Headquarters

4001 RODNEY PARHAM ROAD

City, State, Zip: LITTLE ROCK, AR, 72212

Contact Name: MARY RICHARDS

Contact

301 N MAIN STREET

Address: City:

GREENVILLE

State: SC ZIP: 29609

Phone:

864-672-5329

Fax:

E-mail:

MARY.RICHARDS@WINDSTREAM.COM

Code Administrator:²

Name:

David Morgan

Address:

46000 Center Oak Plaza

City:

Sterling

State: VA ZIP: 20166

571-

Phone:

571-434-5381

Fax: 434-

5502

NPA: 515 NXX:3

LATA: 632

OCN:41167

Parent Company's OCN(s) G118 Switching Identification (Switching Entity/POI) ⁵SLTRIAXOPS0

Locality/City/Wire Center:

Rate Center: 6SLATER

Homing Tandem Operating Co. 7:at&t

Tandem Homing

CLLITM8:KNVLIAXC19T

1.3 Dates

Requested Effective

Date of Application: 07/14/2016	Date: ^{9,10}
Date of ripphoanom.	09/18/2016
date the Administrator can grant. Please	rledge that I am requesting the earliest possible effective note that this only applies to a reduction in the request will still be processed in the order received
Expedite Documentation must be pro-	
Expedited Explanation:	in the factor of
Local Exchange Carrier (ILEC)	questing Code (LEC, IC, CMRS, Other):
b) Type of service (e.g., Cellular	- Type 2): Wireline
c) Code Assignment Preference	(Optional)
d) Codes that are undesirable, if	any
e) Type of Change (Mark all that	t apply)
	Switching Id Rate Center Tandem Homing CLL Effective Date LATA Extend Reservations
1.5 Type of Request (Initial, growth, et	cc.) Growth
If an initial code, attach (1) evidence of a service within 60 days. If a growth code,	certification and (2) proof of ability to place code in attach months to exhaust worksheet.
Pooling Indicator: ¹³ ✓ Yes ✓ No	
1.6 NPA Jeopardy Criteria Apply:	Yes No
1.7 Code request for new service (Exp.	lain): new switch deployment project

1.8 It is the code applicant's responsibility to arrange input of Part 2 information into BIRRDS. The 45-calendar day nationwide minimum interval cut-over for BIRRDS will not begin until input into BIRRDS has been completed.

Comments:

I hereby certify that the above information requesting an NXX code is true and accurate to the best of my knowledge and that this application has been prepared in accordance with Central Office Code (NXX) Assignment Guidelines posted to the ATIS web site (http://www.atis.org/inc/incguides.asp) as of the date of this application ¹⁴.

MARY RICHARDS

Signature of Code Applicant

CODE ADMINISTRATOR

07/14/2016

Title

Date

¹Identify type and reason for change(s) in Section 1.4(e).

²A list of the current Code Administrator(s) who can provide assistance in completing this form is available upon request from NANPA.

³The NXX field is required for any code request in which there is a change or the NXX is being returned.

⁴Operating Company Number (OCN) assignments must uniquely identify the applicant. Relative to CO Code assignments, NECA-assigned Company Codes may be used as OCNs. Companies with no prior CO Code or Company Code assignments may contact NECA (800-228-8597) to be assigned a Company Code(s). Since multiple OCNs and/or Company Codes may be associated with a given company, companies with prior assignment should direct questions regarding appropriate OCN usage to the iconectiv TelcordiaTM Routing Administration (TRA) on 732-699-6700.

⁵This is an 11 character descriptor of the switch provided by the owning entity for the purpose of routing calls. This is the 11 character Common Language[®] Location Code (CLLITM Code) of the applicant's switch or POI. Common Language[®] and Telcordia[®] are registered trademarks and CLLITM, LERGTM Routing Guide and iconectivTM are trademarks and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

⁶Rate Center name must be a tariffed Rate Center associated with toll billing.

⁷Applies to any code applicant connecting to the Public Switched Telephone Network via a tandem owned by a different carrier.

⁸This is an eleven-character descriptor provided by the owning entity for the purpose of routing calls. This must be the CLLITM Location Identification Code of the switching entity/POI, and is the same on Part 2, Form 1, Page 2 of 2.

⁹Code applicants should request an effective date that is at least 66 calendar days from the submission of this form. It should be noted that interconnection arrangements and facilities need to be in place prior to activation of a code. Such arrangements are outside the scope of these guidelines.

¹⁰Requests for code assignment shall not be made more than six months prior to the requested effective date.

¹¹Select if you are the current Code Holder

¹³The Applicant will indicate "YES" if the NXX being requested will be used for thousands-block number pooling and will leave this field blank if it is not.

¹⁴An incomplete form may result in delays in processing this request.

Tracking Number: <u>515-SLATER-IA-933901</u>

Thousands-Block Application Form - Part 1A Revised: January 4, 2016

Full NXX: LRN

Type of Application:	New New	□ Change i	Disconnect				
1.1 Contact In	General Application Information 1.1 Contact Information:						
Block Applic	ant:						
Company Name:	WINDSTREAM IO	WA COMMUNICA	TIONS, INC.	- NORTH			
Headquarters Address:	4001 RODNEY PA	RHAM ROAD					
City:	LITTLE ROCK	State:	<u>AR</u>	ZIP: 72212			
Contact Name:	MARY RICHARDS						
Contact Address:	301 N MAIN STREET						
City:	GREENVILLE	State:	<u>sc</u>	ZIP: 29609			
Phone: 864-672-5329 Fax:							
E-mail: MAR	Y.RICHARDS@WI	NDSTREAM.COM					
Pooling Adm	iinistrator: ⁱⁱ						
Contact Name:	Kevin Gatchell						
Contact Address:	1800 Sutter St						
City:	Concord	State:	CA	ZIP: 94520			
Phone:	925-363-8742	Fax: 925-363-769	92				
E-mail: kevin.gatchell@neustar.biz							
1.2 General Information:							
Check one:	No LRN needed _	LRN needed	l ⁱⁱⁱ :X				
			Parent Con	npany's OCN			

ratua rage 2 of 5

NPA: 515 LATA: 632 OCN ^{iv} : 1167 G118
Number of Thousands-Blocks Requested : 1
Switch Identification (Switching Entity/POI ^v): SLTRIAXOPS0
Rate Center ^{vi} : SLATER
1.3 Dates:
Date of Application ^{vii} : Requested Effective Date ^{viii} :
Requested Expedited Treatment? (See Section 8.6) YesNoX
By selecting this checkbox, I acknowledge that I am requesting the earliest possible effective date the Administrator can grant. Please note that this only applies to a reduction in the Administrator's processing time, however the request will still be processed in the order received.
1.4 Type of Service Provider Requesting the Thousands-Block:
a) Type of Service Provider : <u>Incumbent Local Exchange Carrier (ILEC)</u> (LEC, IXC, CMRS, Other)
 b) Primary Type of Service Blocks to be used for : Wireline c) Thousands-Block(s) (NXX-X) assignment preference (Optional)
d) Thousands-Block(s) (NXX-X) that are undesirable for this assignment, if any
e) If requesting a code for LRN purposes, indicate which block(s) you will be keeping (the remainder of the blocks will be given to the pool) 515-xxx-9.
1.5 Type of Request:
Initial block for rate center: YesIf Yes, attach evidence of authorization and proof of capability to provide service within 60 days.
Growth block for rate center: YesXIf Yes, attach months to exhaust worksheet
By selecting this checkbox, I acknowledge that I am willing to accept a block in red and explicitly understand that the underlying CO code may not yet be activated in the PSTN and loaded in the NPAC on the block effective date.
Type of change (Mark all that apply)
OCN: Intra-company Switching Id Part 1B

OCN: Inter-compar	ny ^x ☐ Effective Date	
Change block : YesIf	Yes, list NPA-NXX-X	
1.6 Block Return:		
a) Is this block Contaminated	1: Yes No	
b) If Yes how many TNs are	NOT available for assignment :	
c) Have all new Intra SP port	ts been completed in the NPAC: Yes	No
d) Has this block been protect	eted from further assignment: Yes	No
Disconnect block : Yes	If Yes, list NPA-NXX-X	_
Remarks:		
accurate to the best of my know in accordance with the Thousan	information requesting an NXX-X block vledge and that this application has been ads-Block (NXX-X) Pooling Administrational the ATIS web site (www.atis.or of the date of this application.	prepared tion
MARY RICHARDS	CODE ADMINISTRATOR	07/14/2016
Signature of Block Applicant	Title	Date

Instructions for filling out each Section of the Part 1A form:

Section 1.1 Contact information requires that Service Providers supply under "Block Applicant" the company name, company headquarters address, a contact within the company, an address where the contact person may be reached, in addition to the correct phone, fax, and e-mail address. The Pooling Administrator section also requires the Service Provider to fill in the Pooling Administrator's name, address, phone, fax and e-mail.

Section 1.2 Service Providers who need a thousands-block assignment or for an Location Routing Number (LRN) are required to fill in this section. If needed for an LRN, a CO Code Application needs to also be submitted to the PA. The Service Provider should supply the Numbering Plan Area (NPA); the Local Access Transport Area (LATA), which is a three-digit number that can be found in the Telcordia Technologies, Inc. dba iconectiv (iconectivTM) LERGTM Routing Guide^{xi}. The Operating Company Number (OCN) assigned to the service provider and the OCN of its parent company. An OCN is a four-character alphanumeric NECA-assigned Company Code or a four-character alphanumeric identifier assigned by the iconectivTM Telecom Routing Administration (TRA). In addition, the number of thousands-blocks requested should be supplied. The Switch

Identification. Explanations of these terms may be found in the footnotes.

Section 1.3 The date the Service Provider completes the application should be entered in this section, as well as the Effective Date of the requested thousands-block.

Section 1.4 Service Providers should indicate their type, e.g., local exchange carrier, competitive local exchange carrier, interexchange carrier, CMRS or VoIP. Also indicate the primary type of business in which the numbering resource is to be used. Service Providers may indicate their preference for a particular thousands-block, e.g., 321-9XXX, or indicate any thousands-blocks that may be undesirable, e.g., 321-6XXX.

Section 1.5 Service Providers indicate the type of request. Initial requests are for first applications for thousands-blocks in a rate center, growth for additional thousands-blocks in a rate center in which the applicant already has numbering resources, and provide the required evidence as ordered by the FCC.

Section 1.6 Service Providers must indicate the updated/current information in regards to contaminated TNs on the block they are returning to the pool. Blocks with over 10% contamination (101 TNs or more) shall not be returned to the pool except when a service provider is exiting the market or is exchanging a block that was identified as being over 10% contaminated. If the block being returned is over 10% contaminated, the PA shall seek a new block holder. If question c and/or d have a response of No, the request for return shall be denied.

The thousands-block applicant certifies veracity of this form by signing their name, and providing their title and date.

Footnotes:

ⁱIdentify the type of change(s) in Section 1.5.

iiThe Pool Administrator is available to assist in completing these forms.

iii A CO Code application will also need to be submitted to the PA.

ivOperating Company Number (OCN) assignments must uniquely identify the applicant. Relative to CO Code assignments, NECA-assigned Company Codes may be used as OCNs. Companies with no prior CO Code or Company Code assignments should contact NECA (800 524-1020) to be assigned a Company Code(s). Since multiple OCNs and/or Company Codes may be associated with a given company, companies with prior assignments should direct questions regarding appropriate OCN usage to TRA (732-699-6700).

^vThis is an eleven-character descriptor of the switch provided by the owning entity for the purpose of routing calls. This is the 11 character CLLITM Code of the switch /POI.

viRate Center name must be a tariffed Rate Center.

vii Acknowledgment and indication of disposition of this application will be provided to applicant within seven calendar days from the date of receipt of this application. An incomplete form may result in delays in processing this request.

viii Please ensure that the NPA-NXX of the LRN to be associated with this block (s) is/will be active in the PSTN prior to the effective date of the block(s).

ix Select if you are the current Block Holder.

x Select if you are <u>not</u> the current Block Holder

xi Common Language[®] and Telcordia[®] are registered trademarks and CLLITM, LERGTM Routing Guide and iconectivTM are trademarks and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

Thousands-Block Number Pooling Administration Guidelines (TBPAG) - Appendix 3

Revised: January 4, 2016

MONTHS TO EXHAUST and UTILIZATION CERTIFICATION WORK SHEET - TN Level¹ (Thousands-Block Number Pooling Growth Block Request)

Tracking Number: 515-SLATER-IA-933901

Date: Thursday, July 14, 2016

OCN:1167

Company Name: WINDSTREAM IOWA

COMMUNICATIONS, INC. - NORTH

Rate Center: SLATER

List all Codes NPA(s)-NXX(s) and Blocks NPA(s)-NXX-X(s)²:515-685-1.2.3.4.5.9

Name of Block Applicant: MARY

RICHARDS

Signature: MARY RICHARDS

Title: CODE ADMINISTRATOR

Phone: 864-672-5329-

Fax:

E-Mail: MARY.RICHARDS@WINDSTREAM.COM

A. Available Numbers: 5093

B. Assigned Numbers: 848

C. Total Numbering Resources:6000

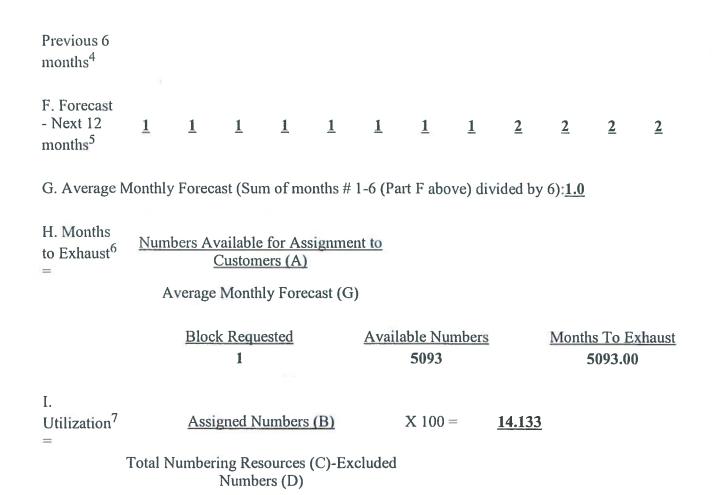
D. Quantity of numbers activated in the past 90 days (increments of 1,000 or 10,000) and excluded from the Utilization calculation $3:\underline{0}$

List Excluded Code(s) or

Block(s):

Month Month

E. Growth $\underline{0}$ $\underline{1}$ $\underline{-1}$ $\underline{-5}$ $\underline{-1}$ $\underline{-3}$ History -



Explanation:

¹A copy of this worksheet is required to be submitted to the Pooling Administrator when requesting additional numbering resources in a rate center. For auditing purposes, the applicant must retain a copy of this document.

²Report on all resources for the requested geographic area, including newly acquired blocks/codes.

³Quantity of numbers activated in the past 90 days is based on blocks and/or codes received from the administrator and shall be reported in increments of 1,000 or 10,000 TNs (e. g.: 2 blocks received=2,000 and 1 code received=10,000).

⁴Net change in TNs no longer available for assignment in each previous month, starting with the most distant month as Month 1, and Month 6 as the current month.

⁵Forecast of TNs needed in each following month, starting with the most recent month as Month 1.

⁶To be assigned an additional thousands-block (NXX-X) for growth, "Months to Exhaust" must be less than or equal to 6 months. (47 CFR § 52.15 (g) (4) (iii)).

⁷Newly acquired numbers may be excluded from the Utilization calculation (47 CFR § 52.15 (g)(4)

(ii))

Thousands-Block Number Pooling Administration Guidelines (TBPAG) - Part 3

Revised: January 4, 2016

Pooling Administrator's Response/Confirmation

Tracking Number:	515-SLATER- 933901	-IA- 		
Date of Application:	07/14/2016	E	Effective Date:	
Date of Receipt:	07/14/2016		Date of Response:	07/14/2016
Service Provider Name:	WINDSTREA NORTH	M IOWA	COMMUNICATION	NS, INC
(LERG TM Routing Guide ¹) OCN:	1167			
Parent Company OCN:	G118			
NPAC SOA SPID :	-			
Pooling Administrator C				
Kevin Gatchell		Phone:		925-363-8742
Signature of Pooling Ad Kevin Gatchell		2		005 000 7000
Name (print)	r r	ax:		925-363-7692
Email:	<u>k</u>	evin.ga	tchell@neustar.biz	<u>.</u>
NPA-NXX or NPA-NXX-X :		Bloo	ck Assigned:	
		Bloo	ck Reserved:	
			ck Reservation	
		-	ration Date: ck/Code	
			ified:	
			ck/Code	
		Disc	onnected:	
Block Contaminate	ed (Yes or No):			
If yes, enter the nur contaminated (1-10				
Switch Identification	n (Switching/P	OI) ² :	SLTRIAXOPS0	
Rate Center:			SLATER	
X Form complete, req	uest denied.			

450 - 01 -

	4		
Exp	dan	atio	717
$-\Lambda U$	11411	aur	<i>7</i> 11.

DR-57: You do not meet the MTE and/or Utilization requirements, therefore this request for a new code is denied. You may proceed with requesting a State Waiver from the appropriate state commission using this Part 3 denial. If you are in disagreement with the disposition of this request, please refer to the Thousands-Block Number (NXX-X) Pooling Administration Guidelines for the appeals process.

Requ	st Withdrawn.	
Expla	nation:	
Assig	ment Activity Suspended by Administrator.	
Expla	nation:	
Remarks:		

¹ Telcordia[®] is a registered trademark and LERGTM Routing Guide and iconectivTM are trademarks and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

² This is an eleven-character descriptor provided by the owning entity for the purpose of routing calls. This must be the Common Language[®] Location Code (CLLITM Code) of the switching entity/POI shown on the Part 1A form. Common Language[®] is a registered trademark and CLLI is a trademark and the Intellectual Property of Telcordia Technologies, Inc. dba iconectiv.

MONTHS TO EXHAUST and UTILIZATION CERTIFICATION WORKSHEET – TN Level 1 (Thousands-Block Number Pooling Growth Richt Brown)

Date: 0
07/
12/2016
OCN: 1167
Company
/ Name:
Windstream
Communications

Rate Center: Slater, IA

List all Codes NPA(s)-NXXs and Blocks NPA(s)-NXX-X(s): 515-685-1, 2, 3, 4, 5, 9

Name of Block Applicant: Susan W. Barnhart Signature:

Title: Coordinator – MIROR Administration Telephone No.: 786-629-4242 FAX No.: 706-776-3495

E-Mail: Susan.W.Barnhart@windstream.com

A. Available Numbers: 5093

B. Assigned Numbers: 848

9 Total Numbering Resources: 6000

	Ξ_{g}	Ġ	Ψ.	iш		.D
Utilization ⁵	Months to Exhaust ⁴	Average Monthly Forecast (Sum of months #1-6 (Part F above) divided by 6): 1	Forecast Next 12 months ³	Growth History – Previous 6 months ²		D. Quantity of numbers activated in the past 90 days and excluded from the Utilization calculation:List excluded Code(s) or Block(s):
Tot		ıs #1-6 (Part	_	0	Month #1	e past 90
Assigned Nal Numberin	Numbe	F above) div	_	<u>+</u>	Month #2	days and o
Assigned Numbers (B) – Excluded Numbers (D) Total Numbering Resources (C) – Excluded Numbers (D)	Numbers Available for Assignment to Customers (A) Average Monthly Forecast (G)	ided by 6): '	->	7	Month #3	excluded fi
- Excluded N	Available for Assignment to Cus Average Monthly Forecast (G)	_	_	ណុ	Month #4	rom the Ut
<u>lumbers (D)</u> ded Numbers	ecast (G)		_	7	Month #5	tilization ca
\$ (D)	ners (A)		→	ယ်	Month #6	alculation:
* 100			_		Month #7	
11	11		_		Month #8	
14%	5093		2		Month #9	
			2		Month #10	
			2		Month #11	
			2		Month #12	

Explanation:

¹ A copy of this worksheet is required to be submitted to the Pooling Administrator when requesting additional numbering resources in a rate center. For auditing purposes, the applicant must retain a copy of this document.

² Net change in TNs no longer available for assignment in each previous month, starting with the most distant month as Month #1, and Month #6 as the current month.

³ Forecast of TNs needed in each following month, starting with the most recent month as Month #1.

⁴ To be assigned an additional thousands-block (NXX-X) for growth, "Months to Exhaust" must be less than or equal to 6 months. (FCC 00-104, § 52.15 (g) (3) (iii)).

Newly acquired numbers may be excluded from the Utilization calculation (FCC 00104, section 52.15 (g)(3)(ii))